

Aging and fatigue in ferroelectrics: experimental results and current understanding



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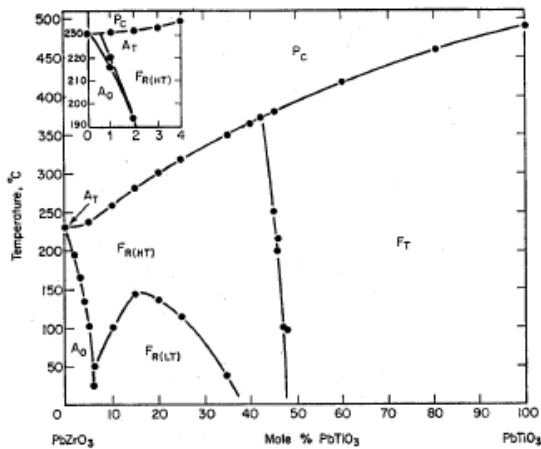
Y.A. Genenko, J. Glaum, M.J. Hoffmann and K. Albe

Final Symposium of SFB 595, Sellin, September 2014

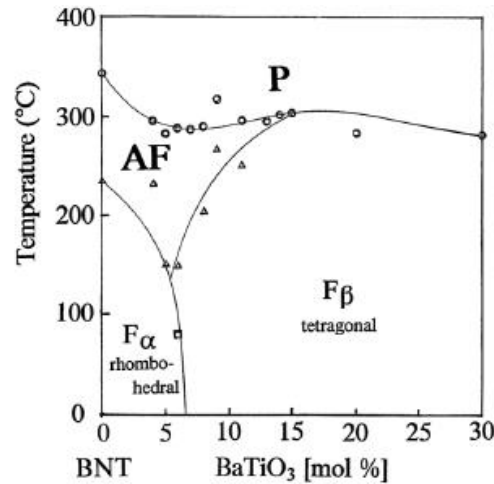
- State-of-the-art at the beginning of the collaborative research (2003)
- Experimental results
- Modelling
- Actual understanding

Material systems studied

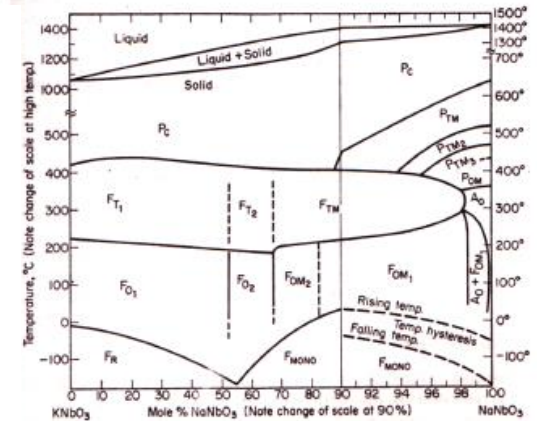
PZT



BNT-BT



KNN



(a) $\text{Pb}[\text{Zr}_{1-x}\text{Ti}_x]\text{O}_3$ (PZT) (from Ref. [6]) (b) $[\text{Bi}_{1/2}\text{Na}_{1/2}]\text{TiO}_3\text{-BaTiO}_3$ (BNT-BT) (from Ref. [17]) $[\text{K}_x\text{Na}_{1-x}]\text{NbO}_3$ (KNN) (from Ref. [6])

This talk is about bulk PZT and BT

Historical notes

The earliest observations of **aging** (degradation at equilibrium conditions)

Bogoroditskii & Verbitskaya (1952)
Novosiltsev, Khodakov & Shulman (1952)
Kambe (1953)

First concepts of aging

Mason (1955)
(**Slow temperature-induced motion of domain walls**)
Plessner (1956)
(**A wide distribution of activation energies, reflecting the random character of obstacles to domain wall motion in a ceramic, yields the log(t) law**)
Takahashi (1970)
(**Space charge effect**)
Lambeck & Jonker (1978)
(**Re-orientation of polar defects**)

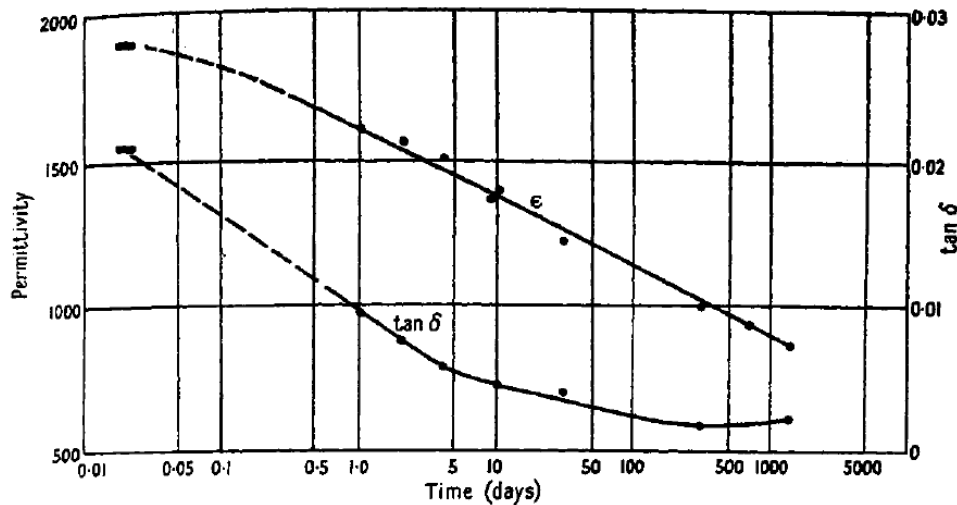
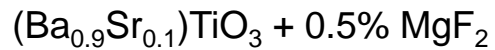
The earliest observations of **fatigue** (gradual degradation during cycling loading)

Merz & Anderson (1955)
Anderson et al. (1955)
Taylor (1967)

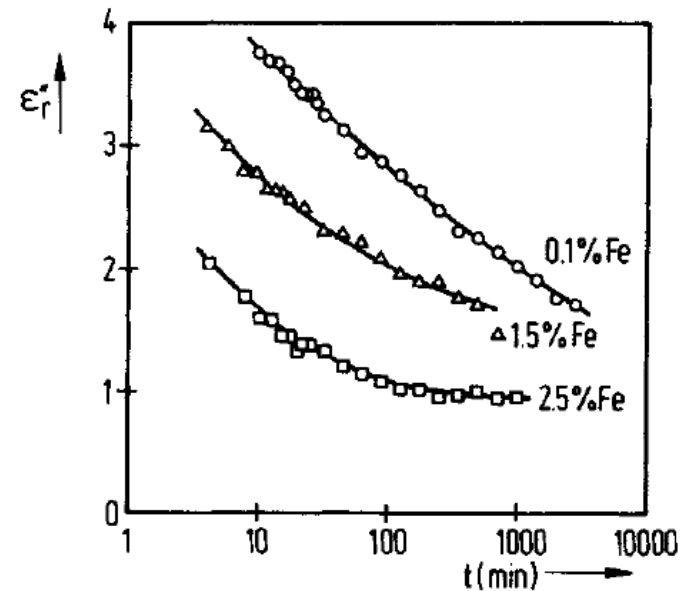
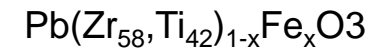
First concepts of fatigue

Yoo & Desu (1992)
(**Defect migration to electrodes**)
Brennan (1993)
(**Defect accumulation and DW pinning**)
Dawber & Scott (2000)
(**Defect re-arrangement**)

Classical experiments on aging: degradation of permittivity

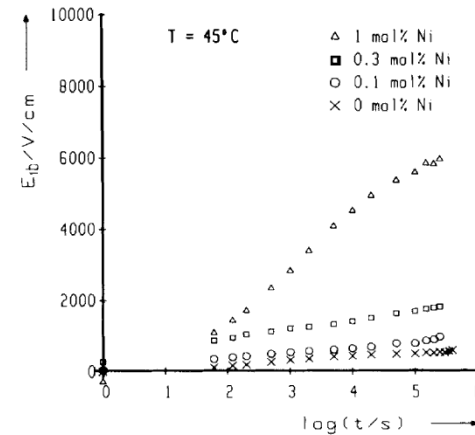
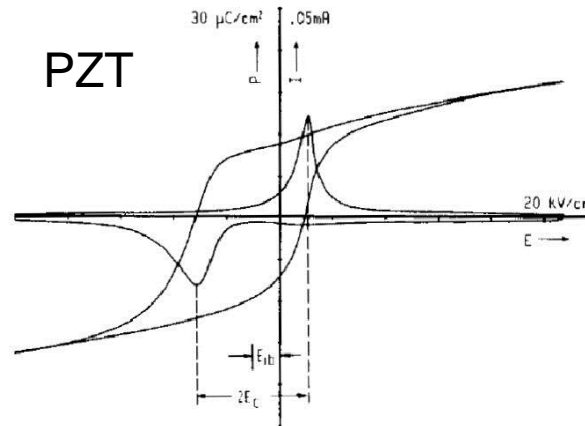
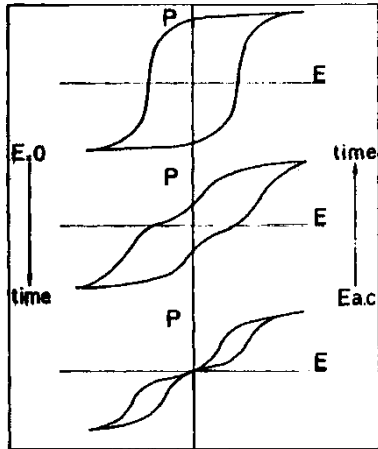


Plessner, Proc. Phys. Soc. Lon. B **69**, 1261 (1956)

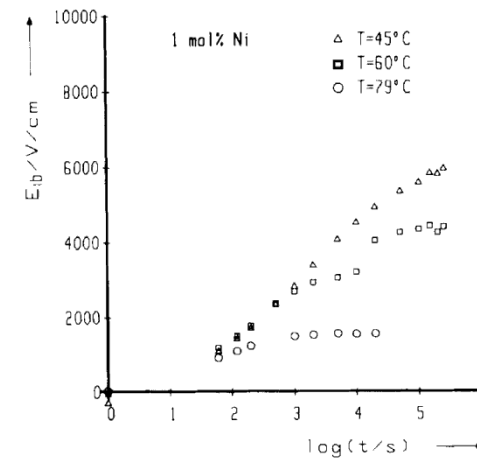
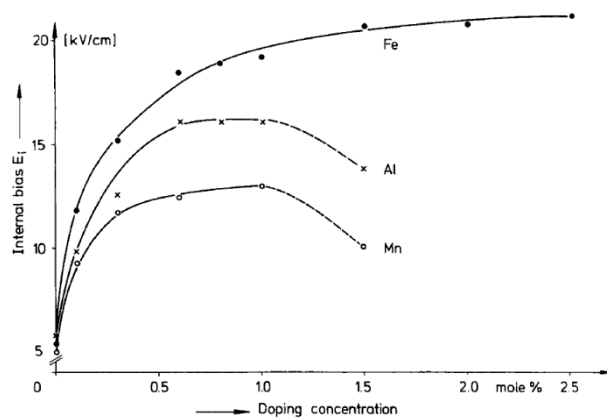


Herbiet et al., Ferroelectrics **76**, 319 (1987)

Classical experiments on aging: internal bias field



BT



Carl & Härdtl, *Ferroelectrics* **76**, 319 (1978)

Arlt & Neumann, *Ferroelectrics* **87**, 109 (1988)

Classical experiments on aging: de-aging

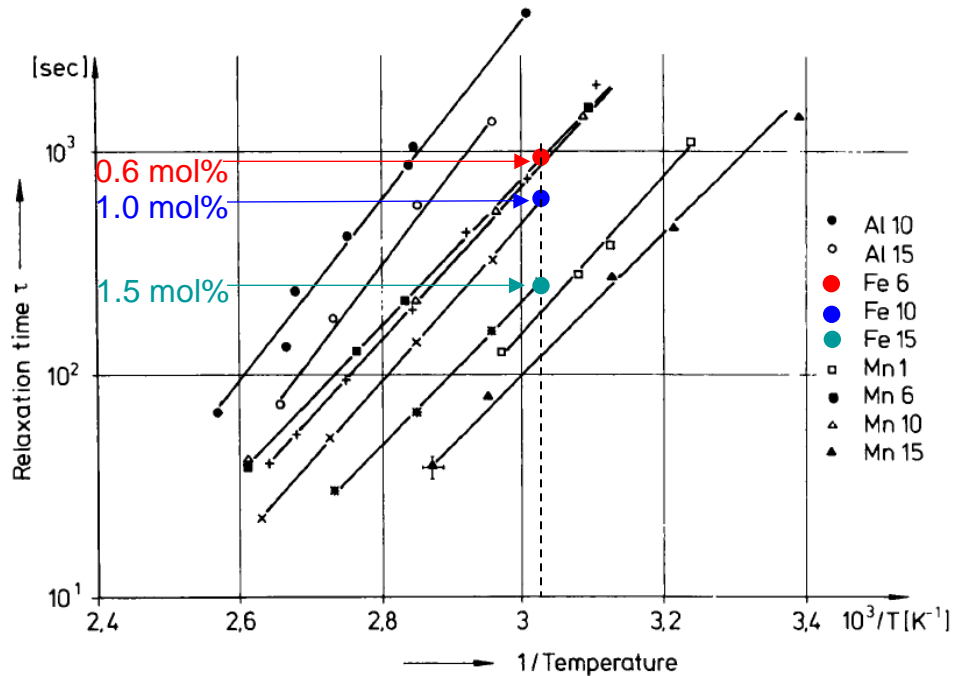


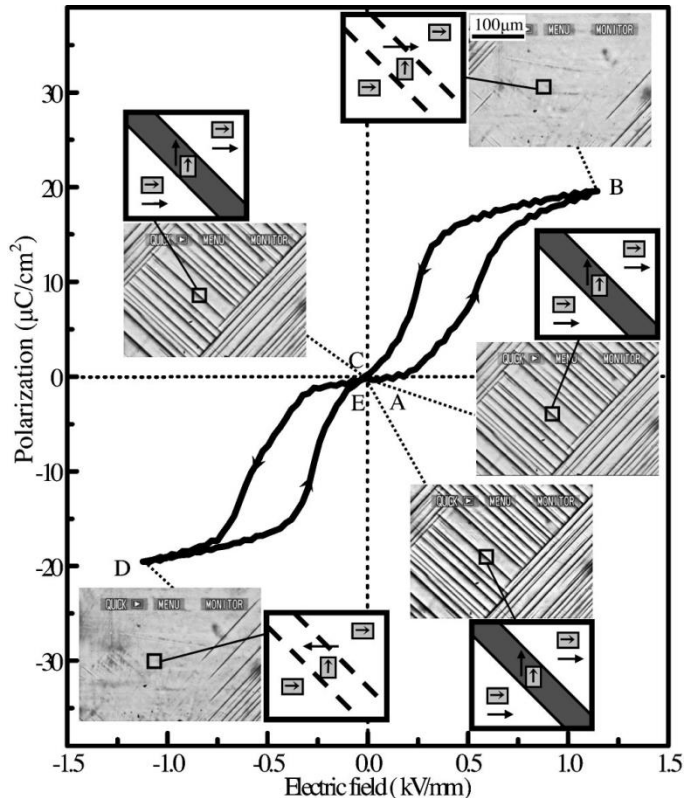
FIGURE 11 Temperature dependence of the relaxation time, τ , of $\text{Pb}(\text{Ti}_{0.42}\text{Zr}_{0.58})\text{O}_3$ specimens doped with Mn, Al, and Fe.

$E_A=0.6-0.7$ eV for (Mn and Fe) and 0.8 eV for Al in PZT

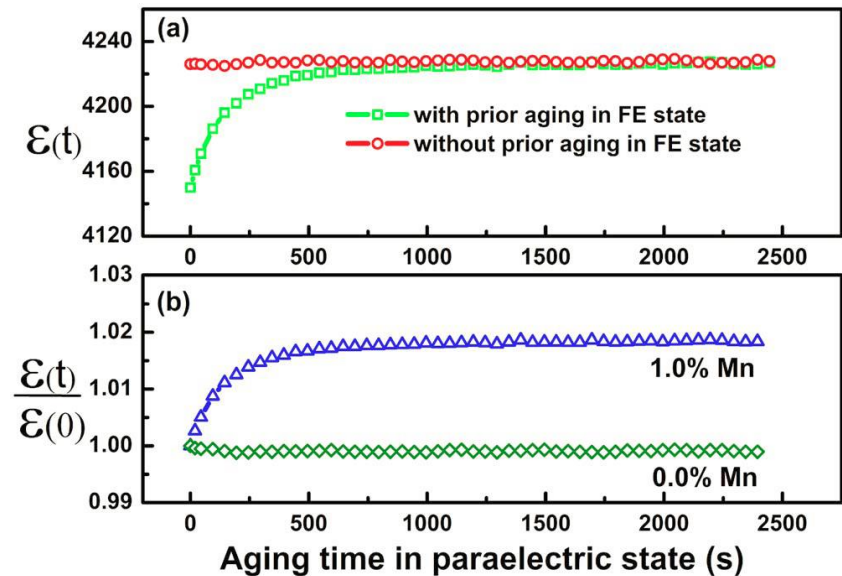
Carl & Härdtl, *Ferroelectrics* **76**, 319 (1978)

Recent experiments on aging and de-aging

Domain structure evolution during poling



Permittivity evolution during heat treatment

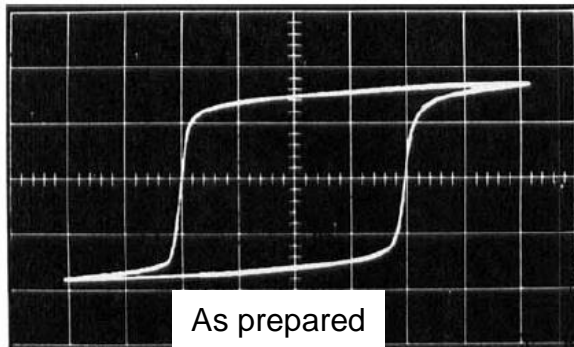


$$E_A = 0.43 \text{ eV (for Mn)}$$

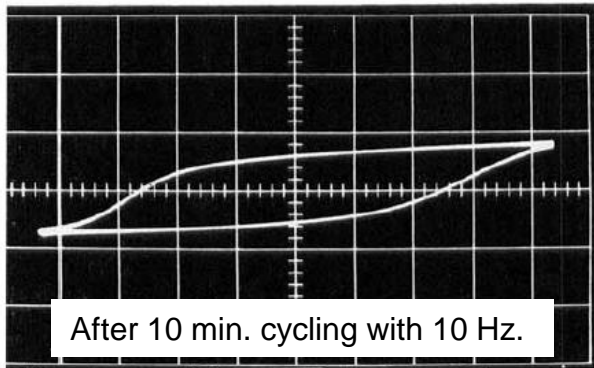
Zhang and Ren, PRB 71 (2005) 174108

Zhou et al., J. Phys.: Condens. Matter **25**, 435901 (2013)

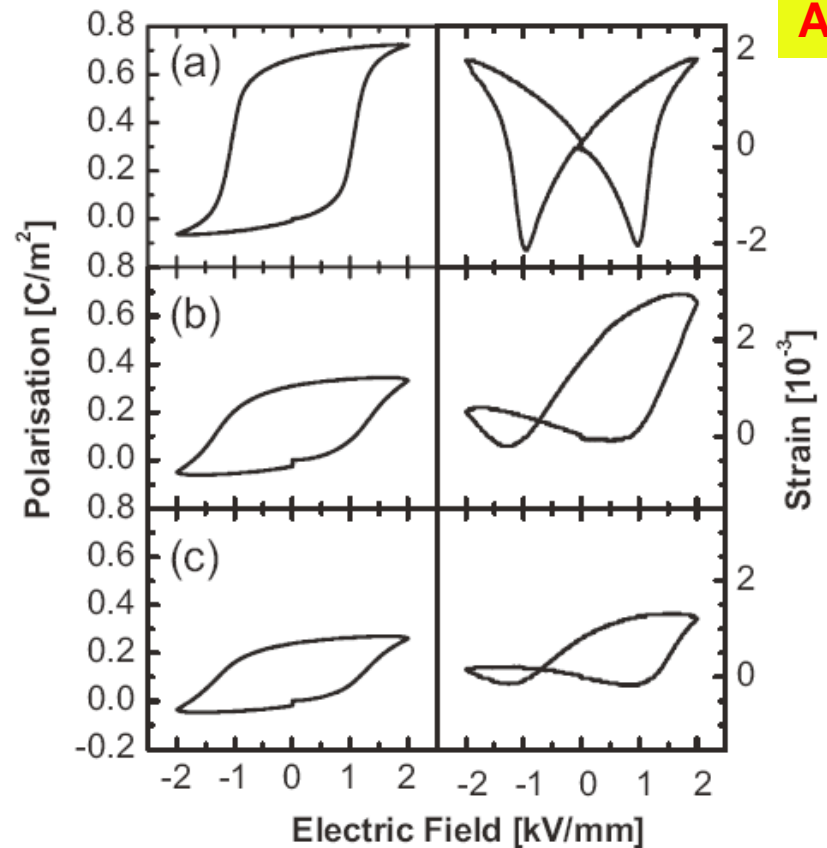
Typical fatigue behaviour



(a)



(b)



Carl, Ferroelectrics **9**, 23 (1975)

Lupascu & Rödel, Adv. Eng. Mat. **7**, 882 (2005)

Strategy of fatigue studies

A1,D1

Different Regimes

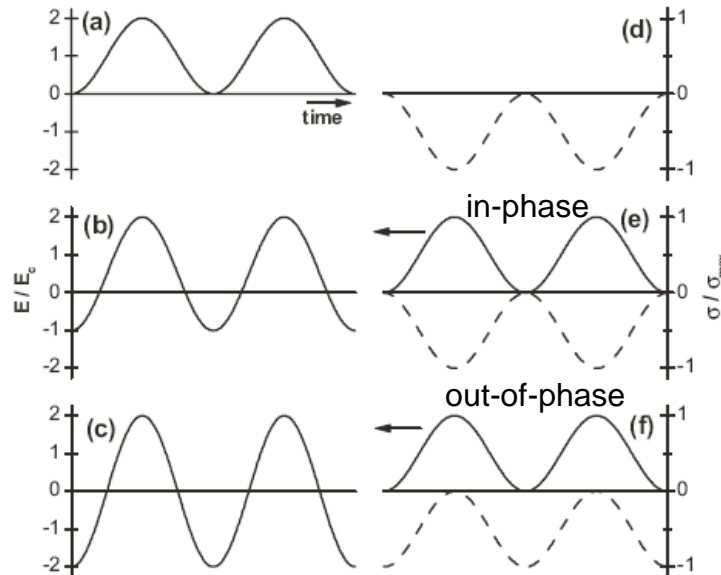
DC electric load

Unipolar electric load

Sesquipolar electric load

Bipolar electric load

Electromechanic load



Particular factors

Field amplitude, frequency and cycle number

Influence of temperature

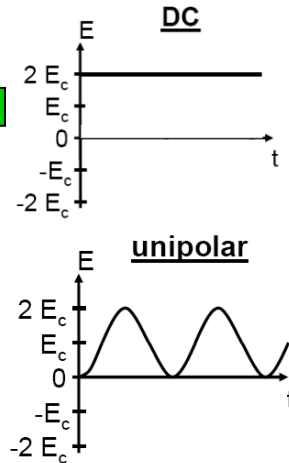
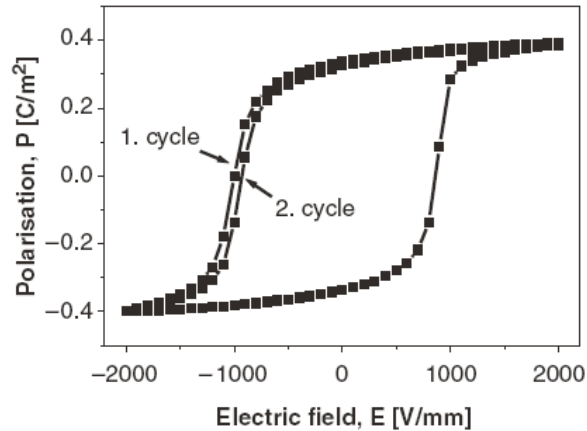
Role of electrodes

Doping and defects

Lupascu and Rödel, Adv. Eng. Mat. 7, 882 (2005)

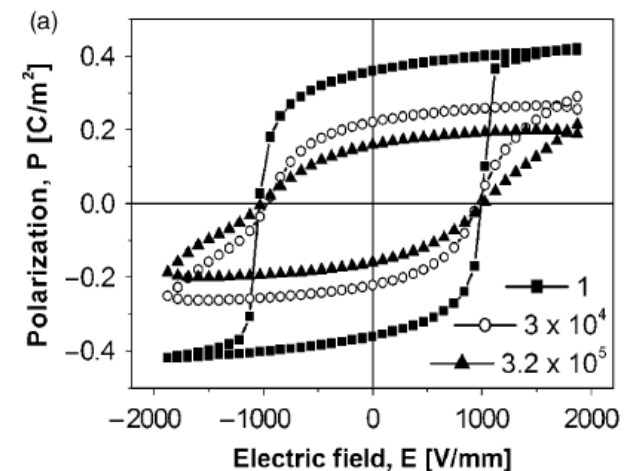
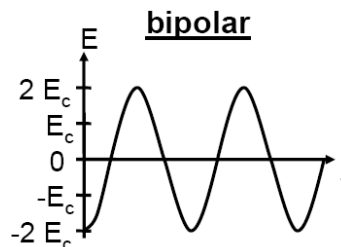
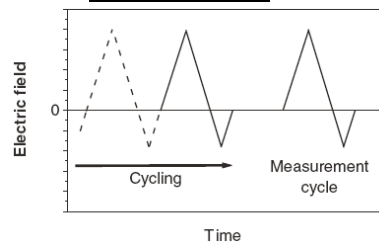
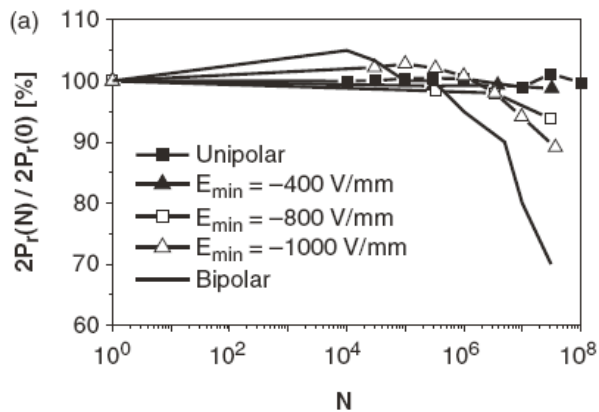
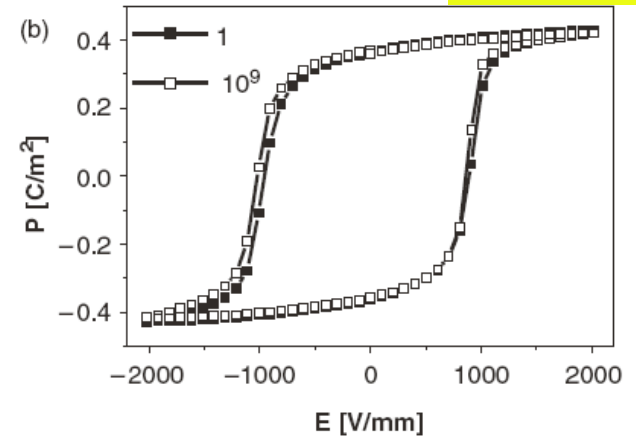
Unipolar, bipolar, sesquipolar and DC conditions of electric load

After a DC load of 2 kV/mm for 264 h



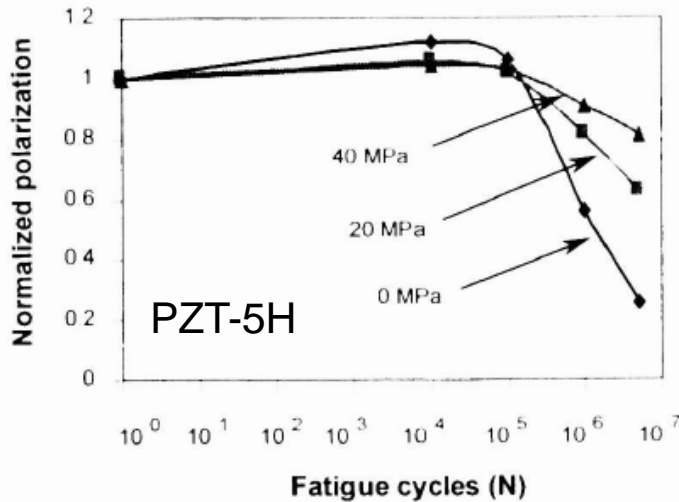
After 10^9 unipolar cycles

A1,A2,D1



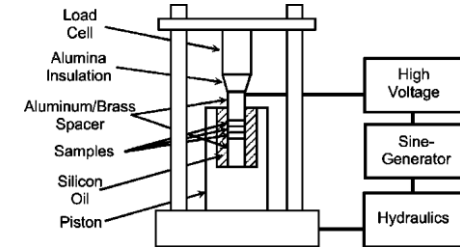
Balke et al. JACS **90** (2007) 1081; 1088; 3869

Cycling with electromechanic loading



Beneficial effect of a fixed mechanical preload

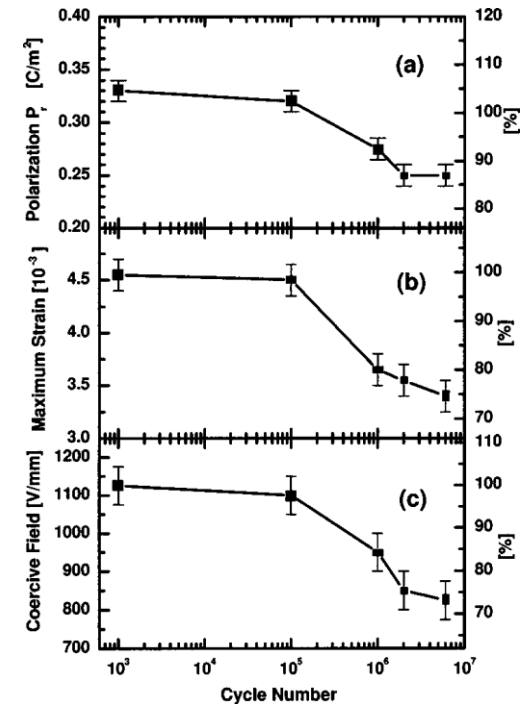
Detrimental effect of the out-of-phase cycling mechanical loading



D1

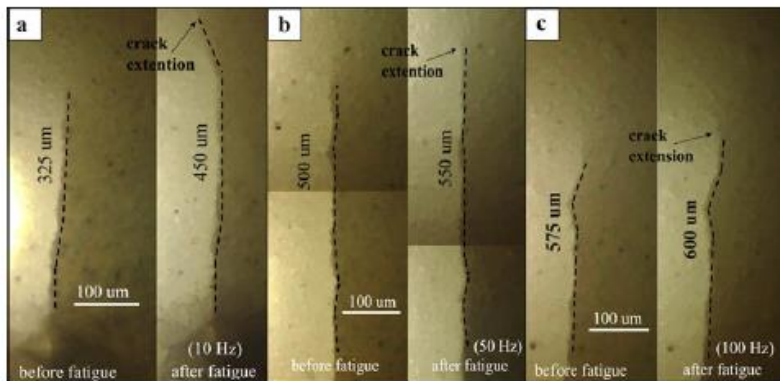
Wang & Carman, Smart Structures and Integrated Systems (1998) 210–221

Lupascu et al., JAP **93**, 5551 (2003)



Effects of the field amplitude, frequency and cycle number

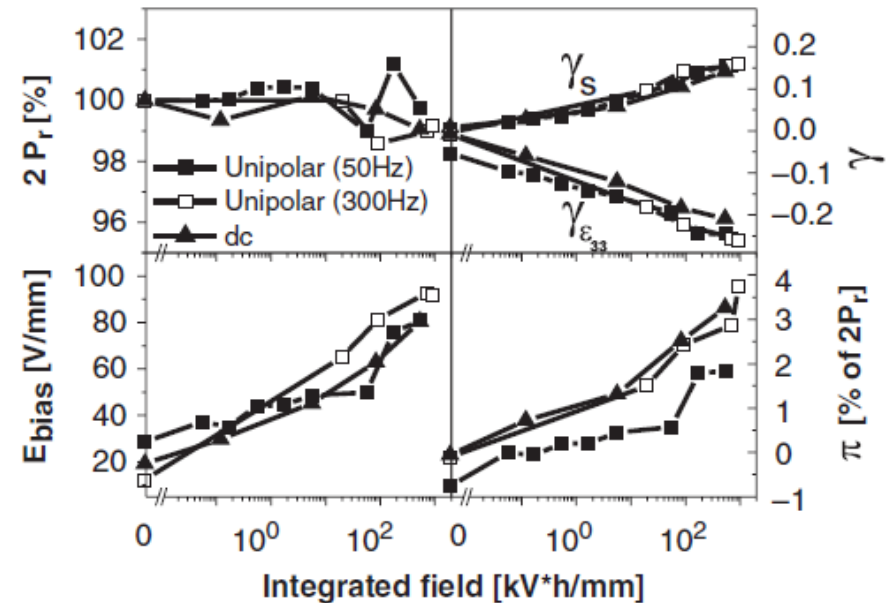
Increasing frequency reduces crack growth in soft PZT



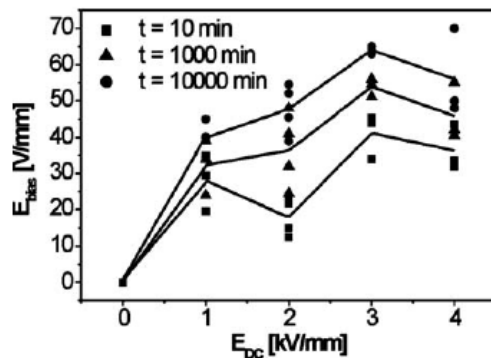
Pojprapai et al., Acta Mat. 57 (2009) 3932

Unipolar fatigue in PIC 151

D1



Balke et al. JACS 90, 1081 (2007)



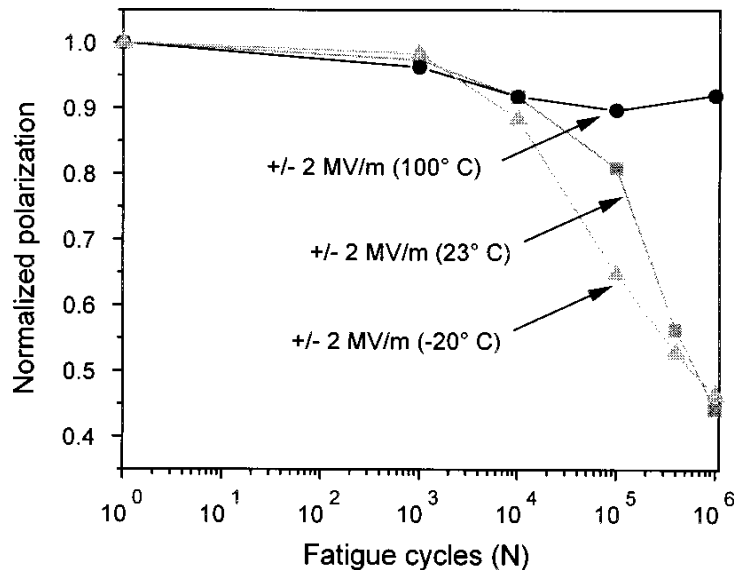
Saturation at $E_{DC} > 2E_c$

An increasing cycling field amplitude above the coercive field leads to stronger degradation of both dielectric and piezoelectric parameters of PZT-5H (Wang et al., JAP 83, 5342 (1998))

Balke et al. JAP 105, 104105 (2009)

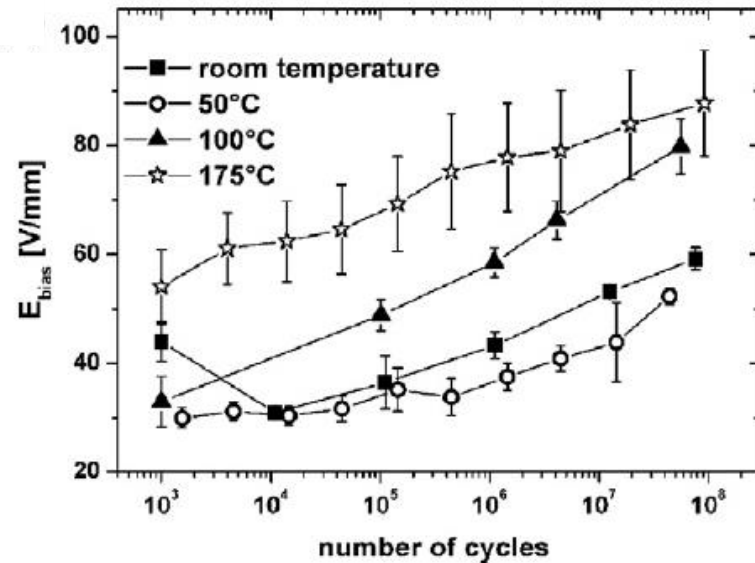
Temperature influence on fatigue

Development of polarization in PZT-5H



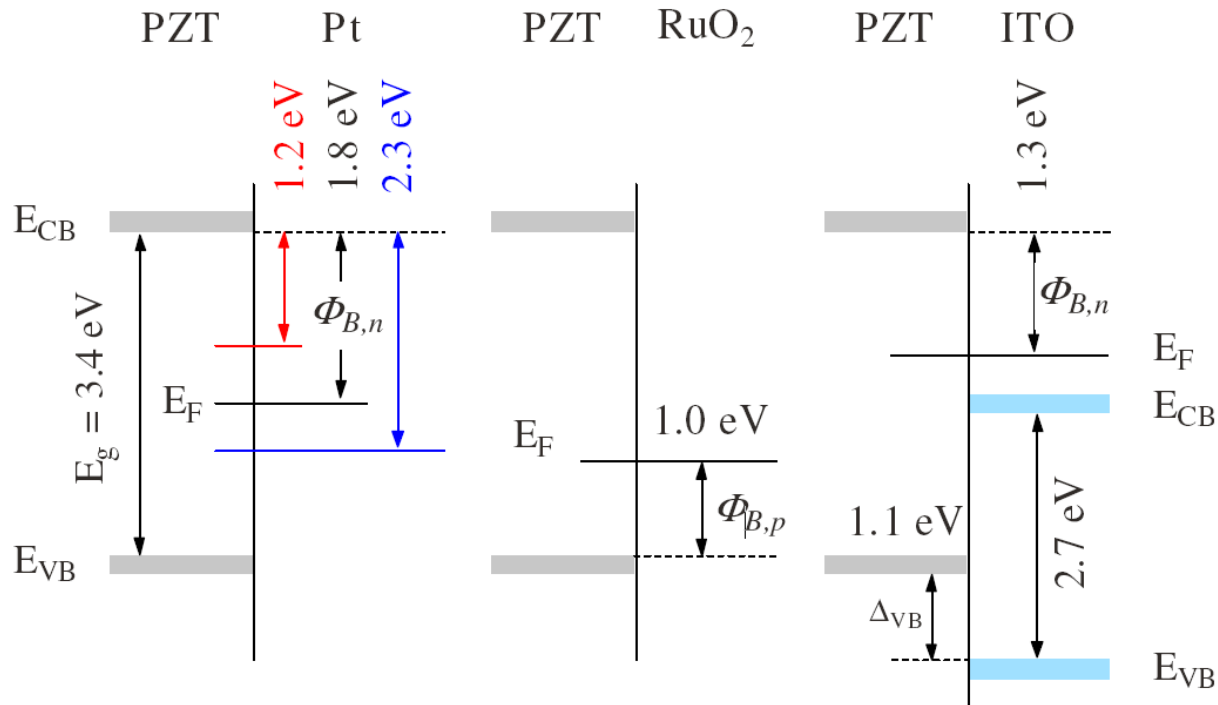
Wang et al., JAP **83**, 5342 (1998)

Development of bias field in PIC 151



Glaum et al., Acta Mat. **59**, 6083 (2011)

Impact of electrodes: injection barriers from X-ray photoemission spectra



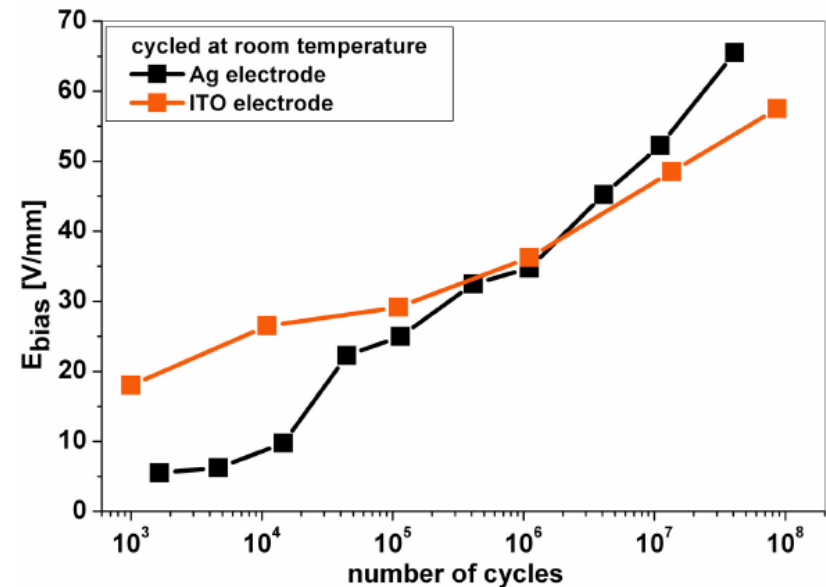
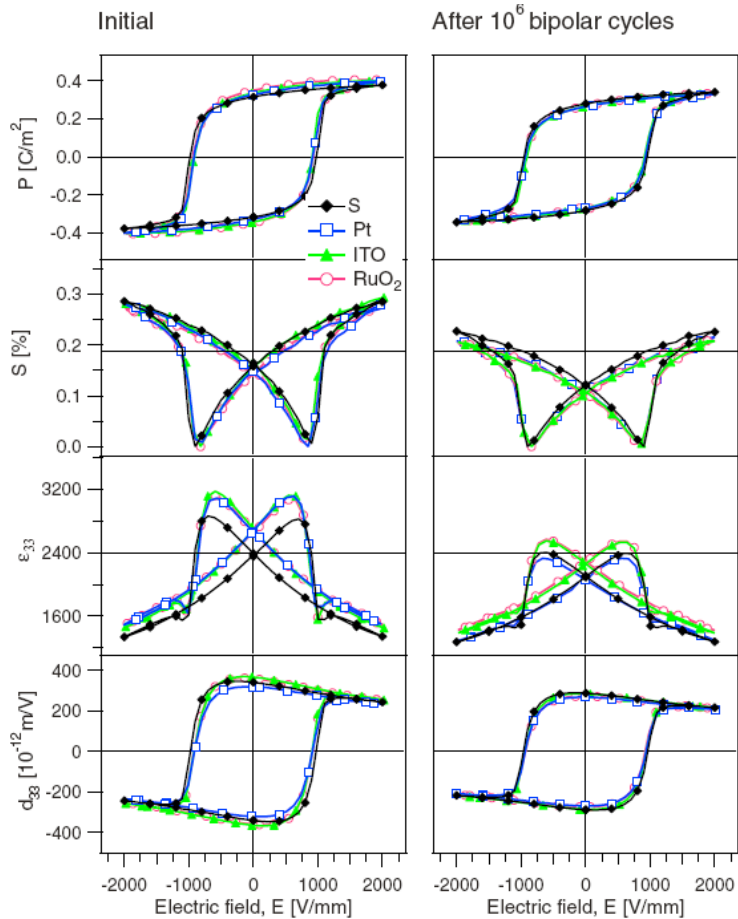
B7,D3

- Barrier heights strongly depend on electrode material
- Barrier heights with Pt vary by ~1eV depending on pO₂

Chen et al. J. Phys. D: Appl. Phys. **42** (2009) 215302; **43** (2010) 295301; JAP **108** (2010) 104106

Fatigue in PIC 151 with Pt, RuO₂, ITO and Ag electrodes

B7,D1



No crucial difference in polarization and (bipolar) fatigue with different electrodes in contrast to thin films

Chen et al. JAP **108**, 104106 (2010)

Experimental verification of fatigue models by different methods

Anticipated origins of fatigue can be separated in two main groups:

- Occurrence of microstructural changes such as crack initiation or element migration (irreversible, meso- to macroscopic)
- Alteration of domain wall motion due to polar defect alignment and/or charge carrier agglomeration (reversible, micro- to mesoscopic)

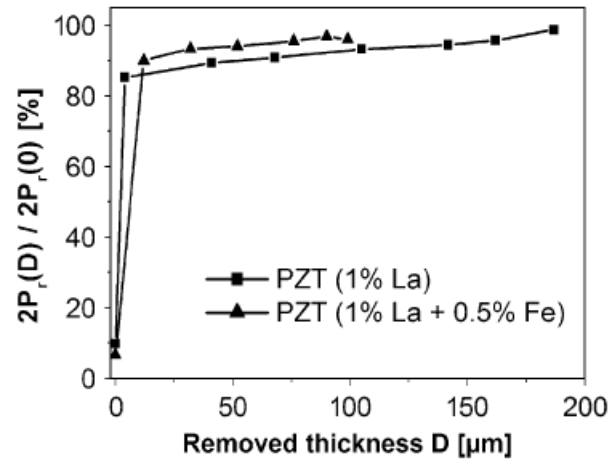
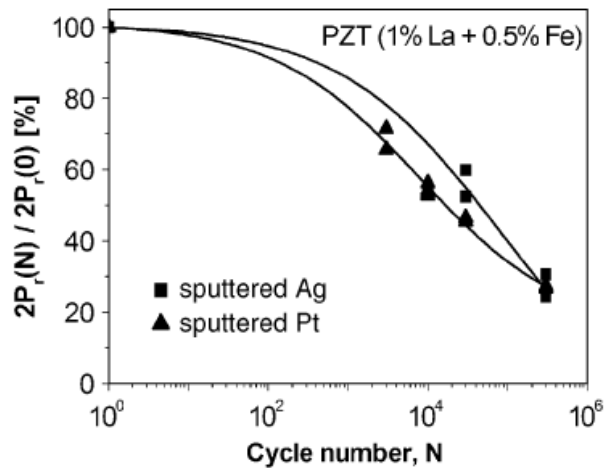
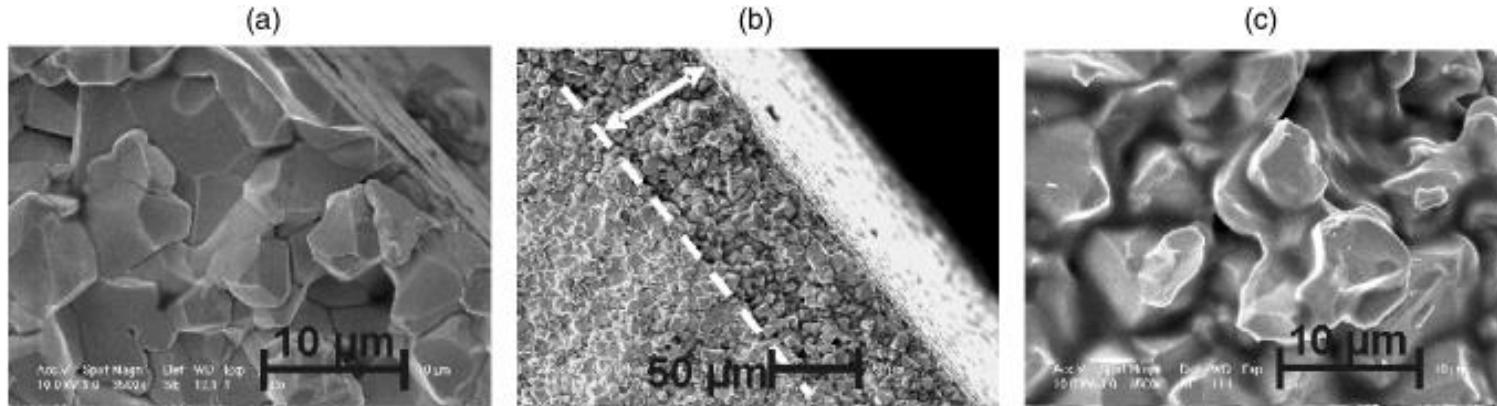
Methods implemented:

Optical microscopy, high-resolution synchrotron radiation, EDX, HRSEM, TEM, EPR, NMR, pulse electric measurements etc.

Fatigue of the near electrode region in PZT

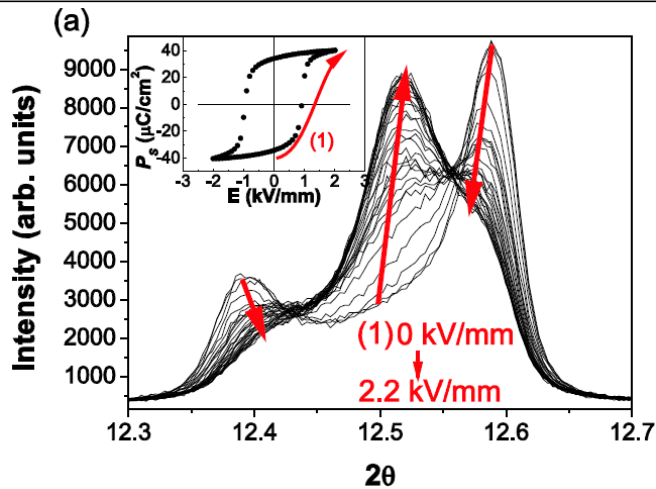
A2,D1

SEM images before and after 3×10^5 bipolar cycles



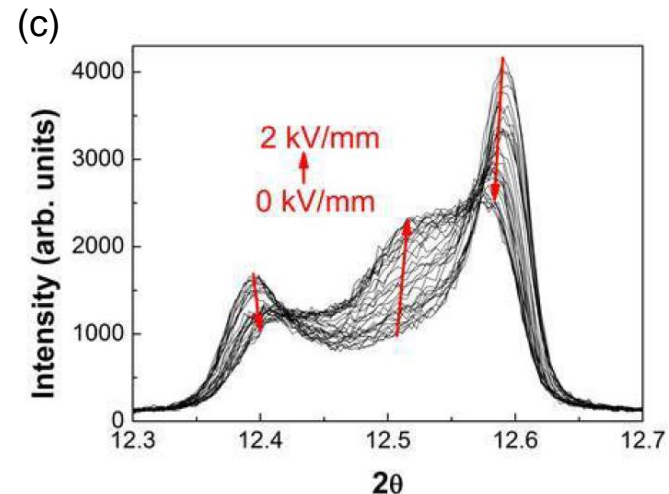
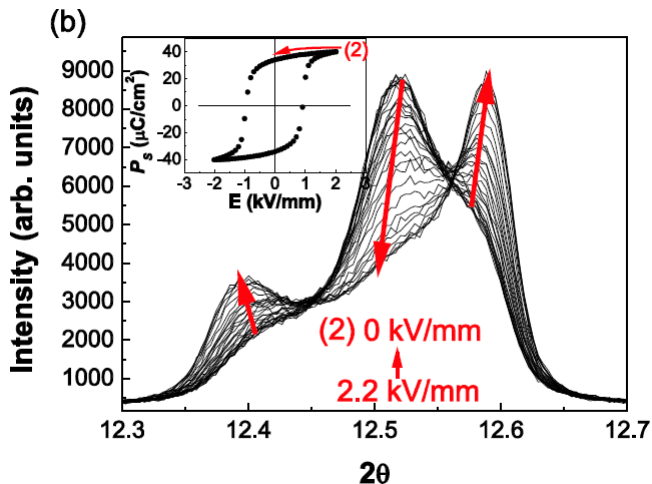
Structural changes during electrical loading by using in-situ high resolution synchrotron radiation

B3,T2



Reversible switching from tetragonal to monoclinic phase in PZT at MPB

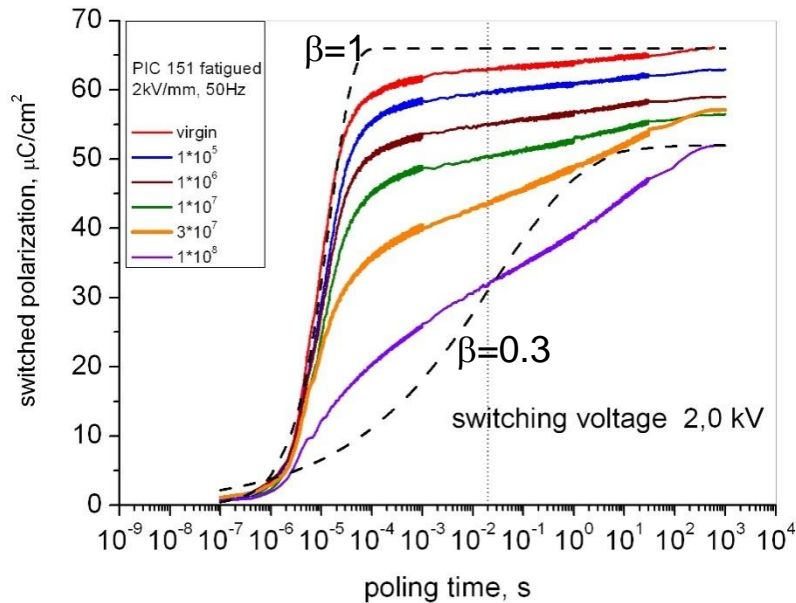
Reduced phase transformation after 10^7 bipolar cycles



Hinterstein et al. PRL **107**, 077602 (2011)

Polarization switching in fatigued PZT ceramics

PIC 151 ceramic (virgin and fatigued)

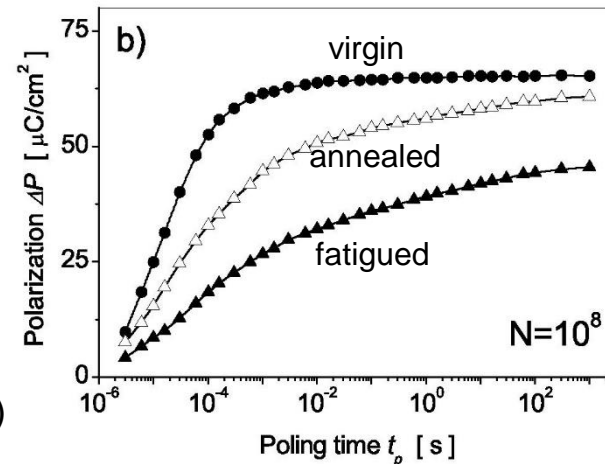
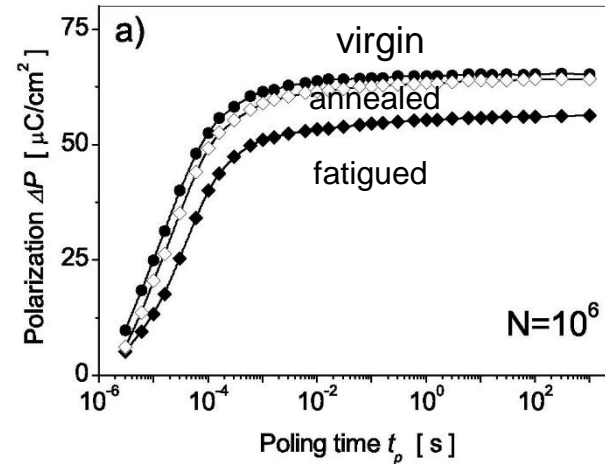


Zhukov et al., JAP **108**, 014105 (2010)

Zhukov et al., PRB **82**, 014109 (2010)

Annealing experiment

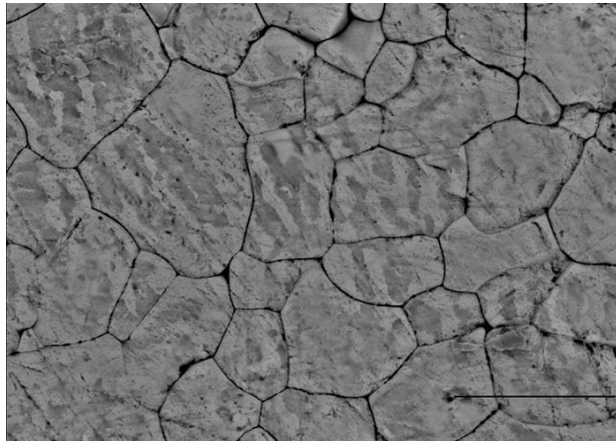
B7,C5,D1



Crack formation in heavily doped PZT

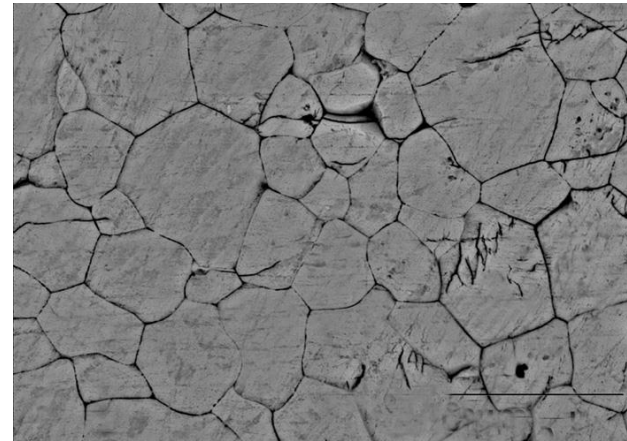


fresh ceramic



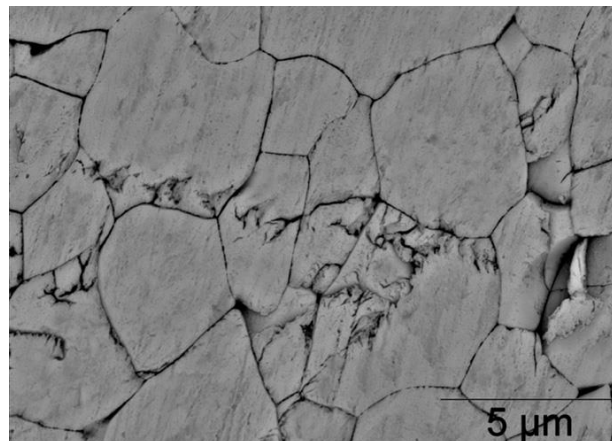
10^7 cycles

B7,C5,D1



SEM

10^8 cycles

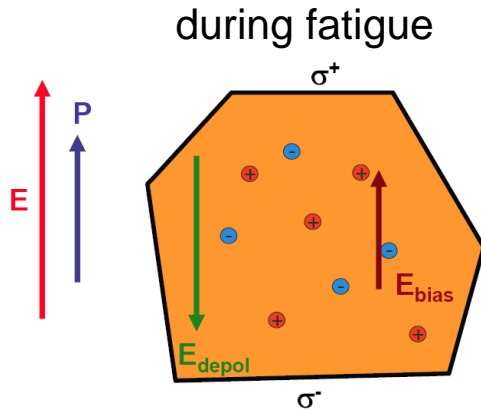


5 μ m

Ionic and electronic processes behind reversible fatigue and aging

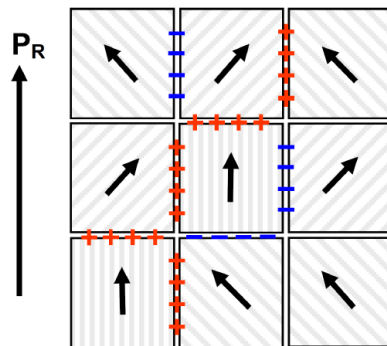
C1,C5,D1

Charge accumulation in grain boundaries



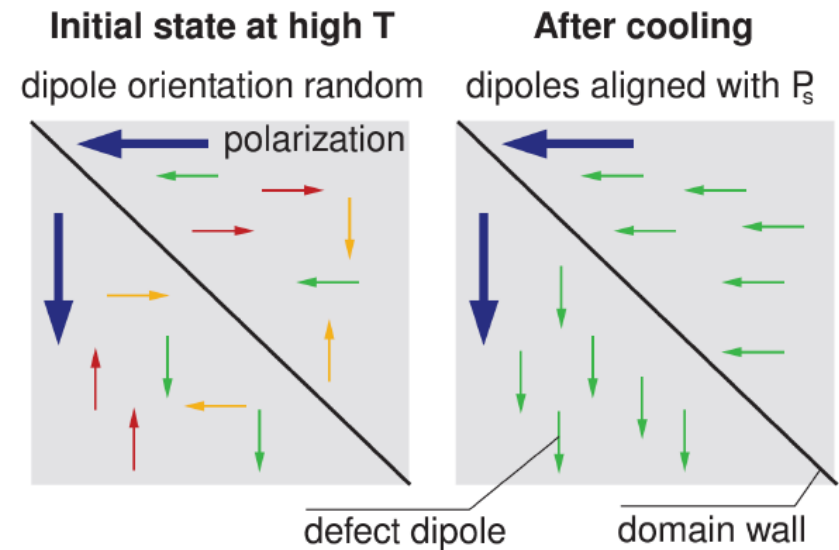
Balke et al.,
JAP **105** (2009) 104105

during aging in poled state



Genenko et al.,
PRB **80**, 224109 (2009)

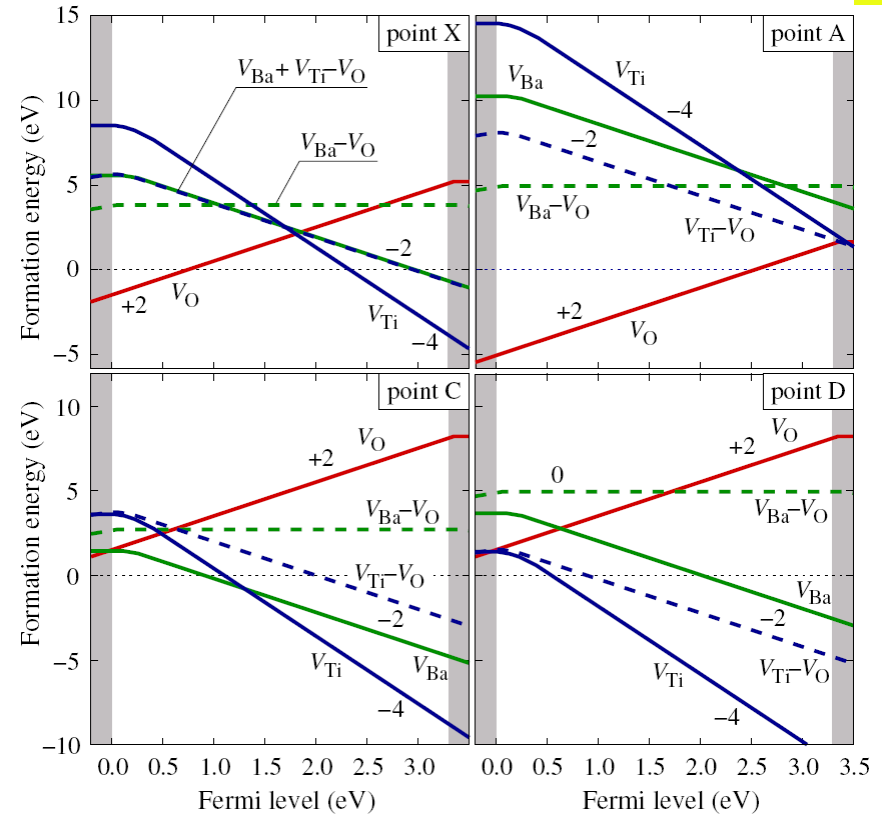
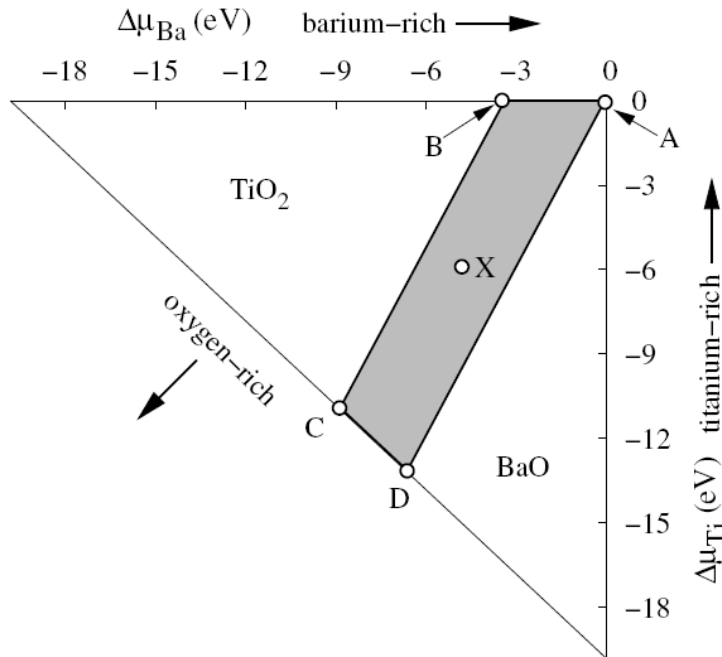
Dipole defects re-orientation



Erhart et al., PRB **88**, 0124107 (2013)

Defect formation energies in BaTiO₃ by DFT

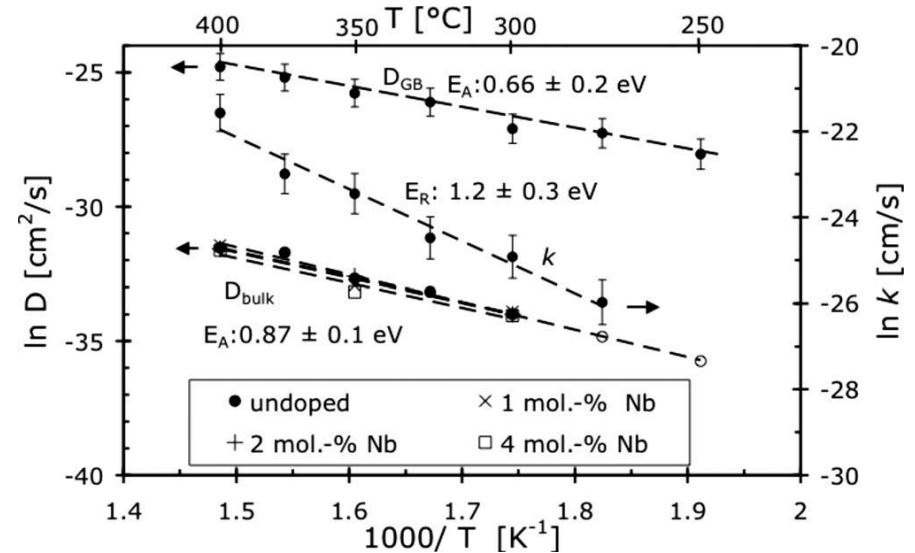
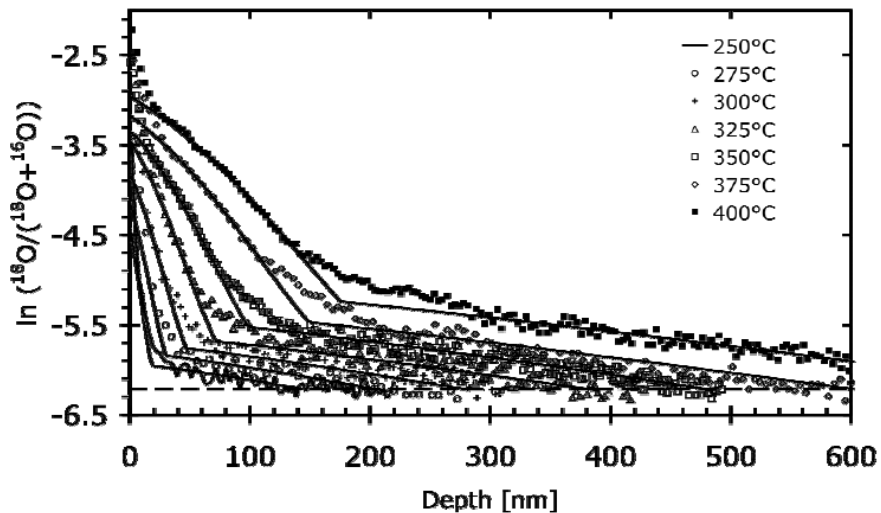
C1



Erhart & Albe, J. Appl. Phys. **104**, 044315 (2008)

Oxygen tracer (^{18}O) diffusion in $\text{PbZr}_{0.4}\text{Ti}_{0.6}\text{O}_3$

Isotope ratio depth profiles from SIMS

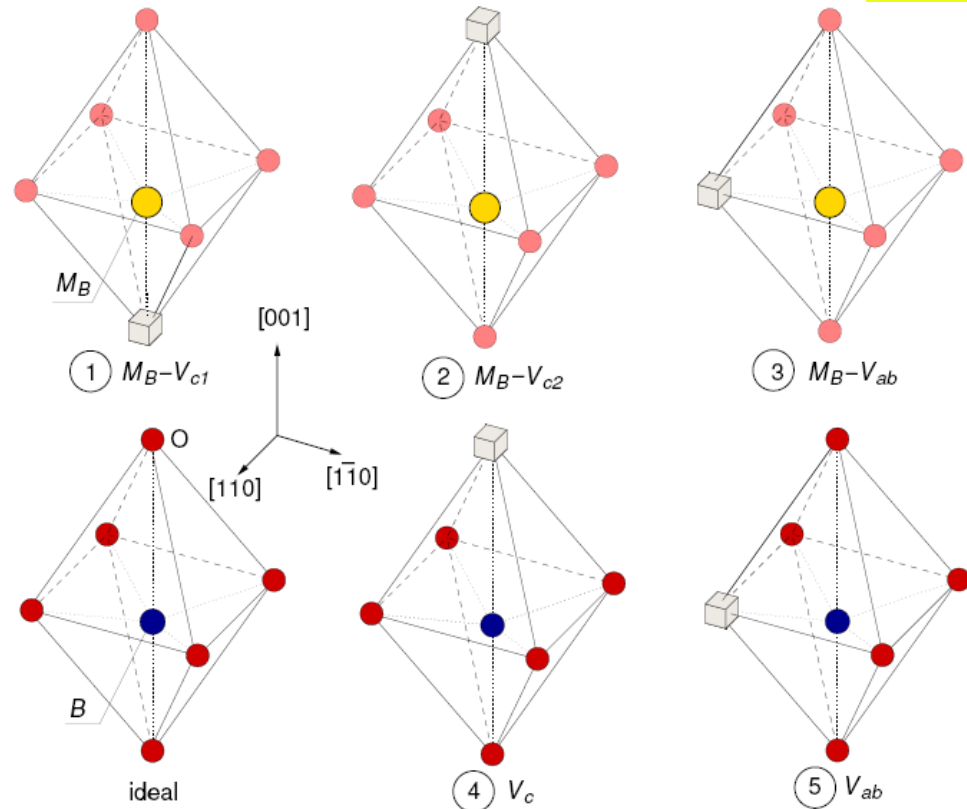
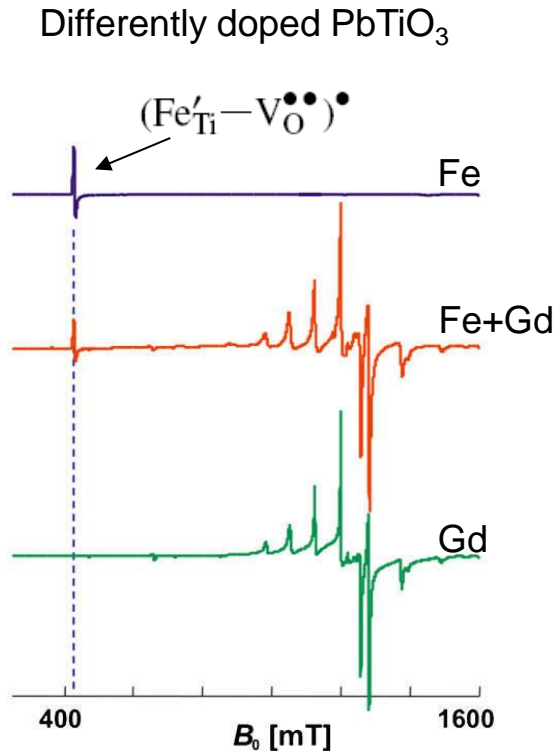


Activation barriers for oxygen motion
in bulk $E_A \sim 1.2 \text{ eV}$
in grain boundaries $E_A \sim 0.66 \text{ eV}$

Gottschalk et al., JAP **104**, 114106 (2008)

Defect dipole formation, position and orientation from ESR and DFT

A2,B1,C1

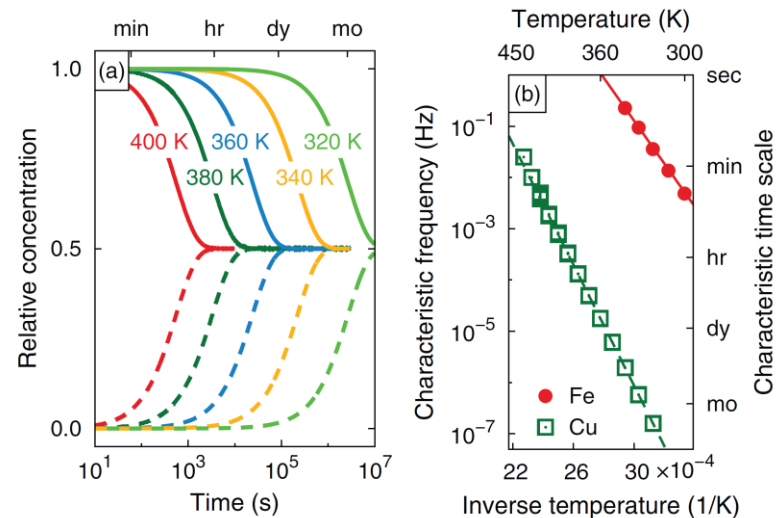
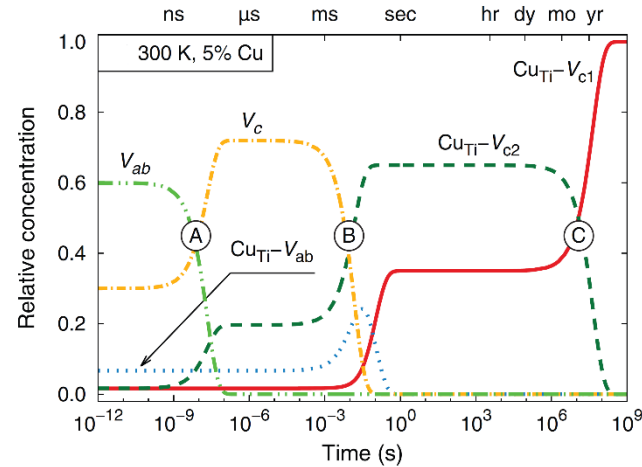
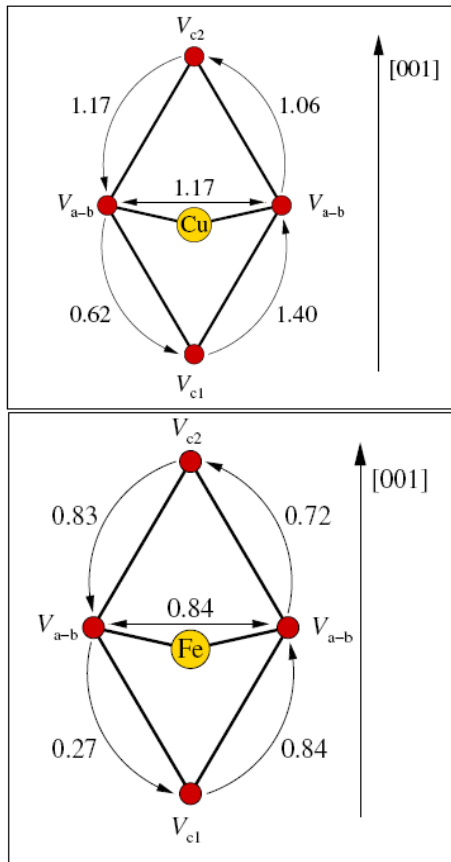


Eichel et al., JAP **95**, 8092 (2004)
Jakes et al., APL **98**, 072907 (2011)

Erhart et al., PRB **76**, 174116 (2007); **88**, 024107 (2013)

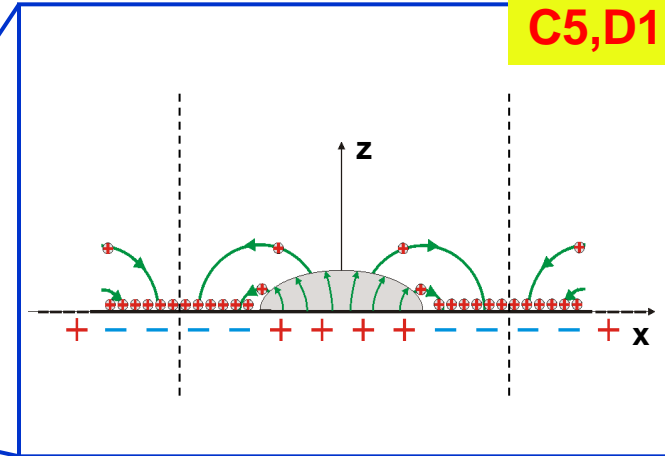
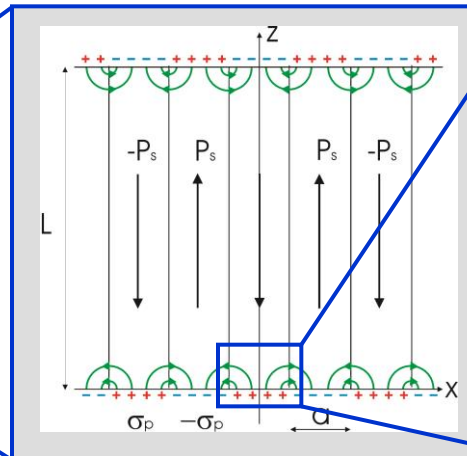
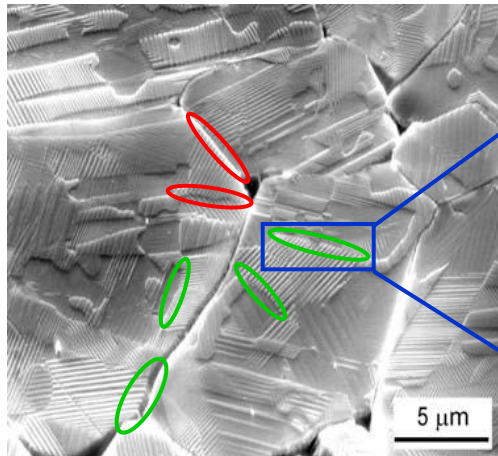
DFT analysis of defect dipole switching barriers and alignment kinetics in doped PT

C1



Erhart et al., PRB **88**, 024107 (2013)

Drift-diffusion model of aging in unpoled polycrystalline ferroelectrics I



C5,D1

Main assumptions of the model

- Presence of local depolarization fields at some domain faces
- Existence of sufficient amount of mobile charge carriers (presumably, oxygen vacancies) in the bulk material
- Stability of the domain structure during the charge migration

Basic equations

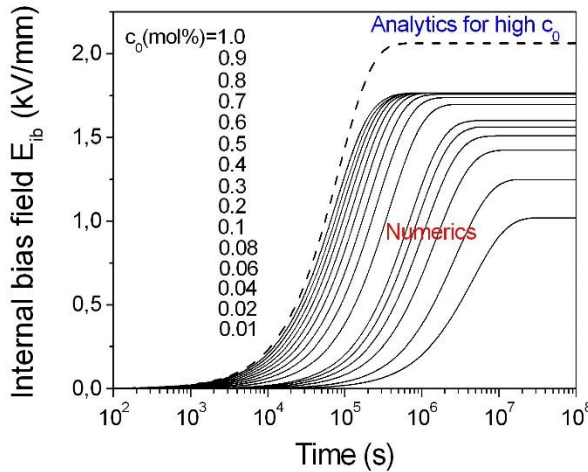
$$\frac{\partial c}{\partial t} = -\nabla(\mu c \mathbf{F}) + D \Delta c$$

$$\nabla \mathbf{F} = \frac{q_f}{\epsilon_r \epsilon_0} (c - c_0)$$

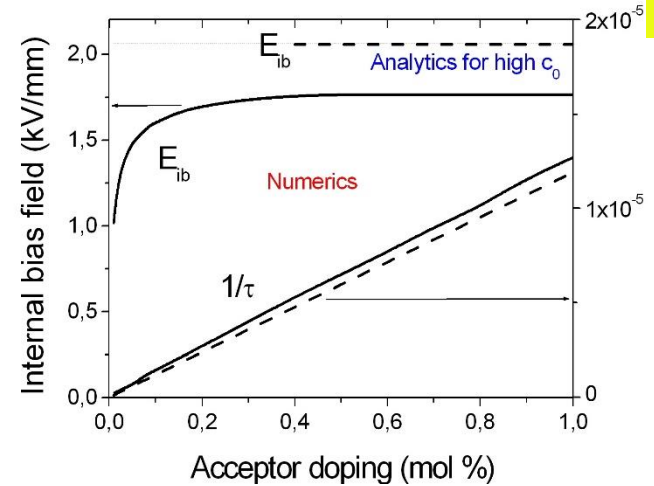
Low doping limit: Genenko & Lupascu, PRB **75**, 184107 (2007); Genenko et al. Ferroelctrics **370**, 196 (2008)

Drift-diffusion model of aging in unpoled polycrystalline ferroelectrics II

C5



Numerical results for arbitrary doping



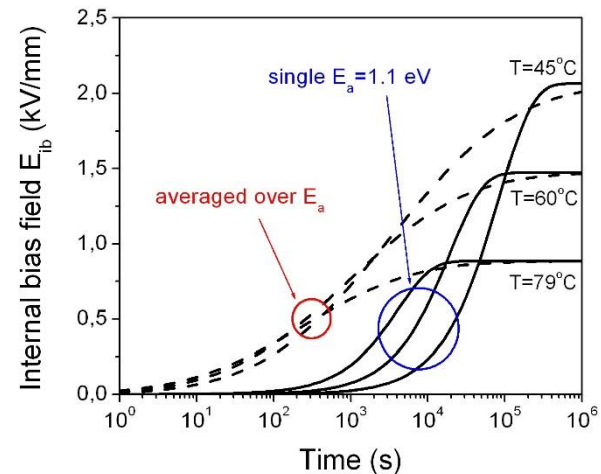
Analytical results For $c_0 > 0.4$ mol%

Aging time:

$$\tau_r = \varepsilon_0 (\varepsilon_f + \varepsilon_d) / q_f \mu c_0$$

Internal bias field:

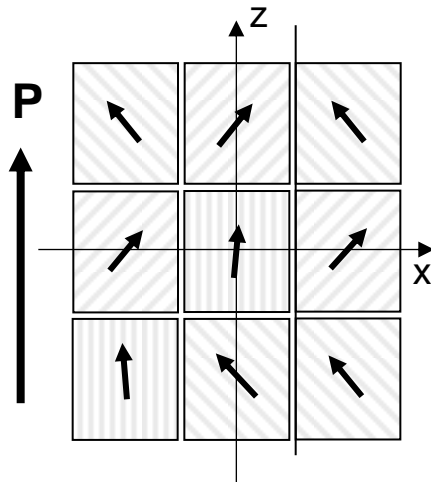
$$E_{ib}(t) = \frac{0.85 a P_s}{\pi L \varepsilon_0 \varepsilon_f} [1 - \exp(-t / \tau_r)]$$



Arbitrary doping: Genenko, PRB 78, 214103 (2008)

Drift-diffusion model of aging in poled polycrystalline (PZT) ferroelectrics

A2,C5,D1

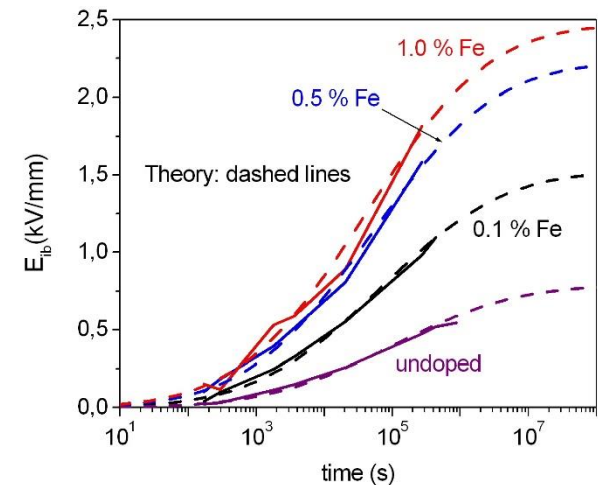
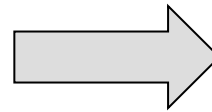
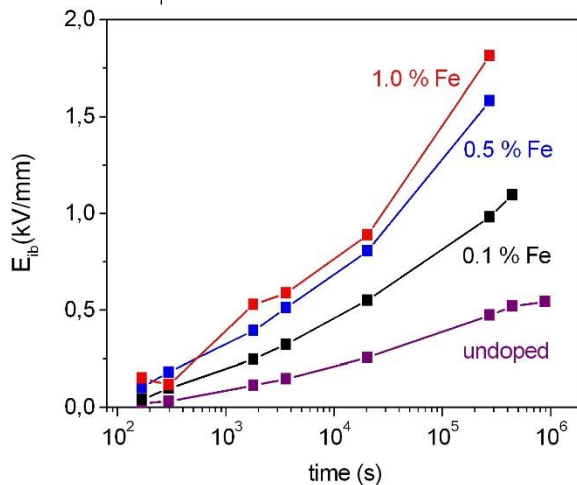


Main assumptions of the model

- Presence of local charges and, consequently, depolarization fields due to mismatch of polarizations in neighbor grains
- Existence of mobile charge carriers (presumably, oxygen vacancies) in the bulk material

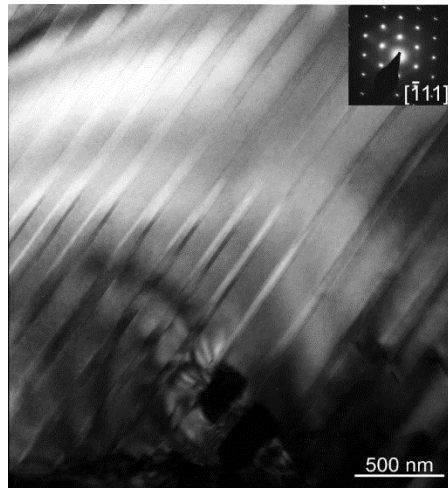
$$\sqrt{\langle \Delta E_d^2 \rangle} \cong \frac{3P_s}{4\pi\epsilon_0\epsilon_f}$$

$$E_{ib}(t) \cong \frac{2.6}{4\pi} \frac{P_s}{\epsilon_0\epsilon_f} [1 - \exp(-t/\tau_r)]$$

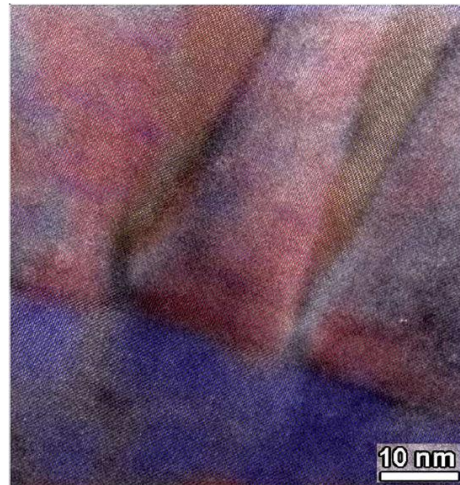


Genenko et al., PRB **80**, 224109 (2009)

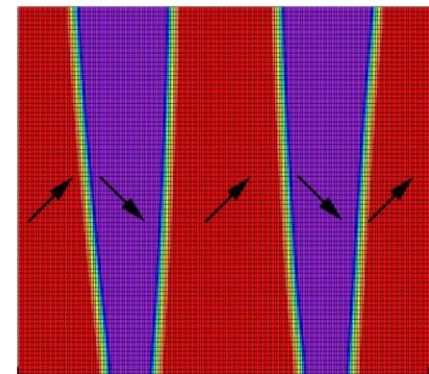
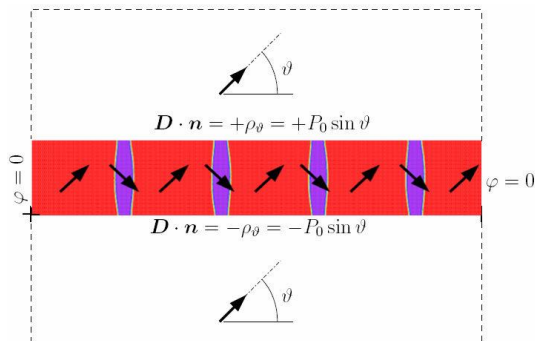
Deformation of domains due to agglomeration of charge defects: phase-field modelling



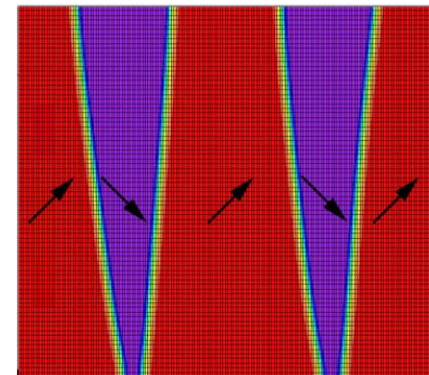
TEM: Schmitt et al.,
JAP **101**, 074107 (2007)



HRTEM: Schmitt et al.,
Comp.Mat.Sci. **81**,123 (2014)



$\vartheta = 12.2^\circ$



$\vartheta = 25.1^\circ$

HRTEM: Schmitt et al., Comp. Mat. Sci. **81**,123 (2014)

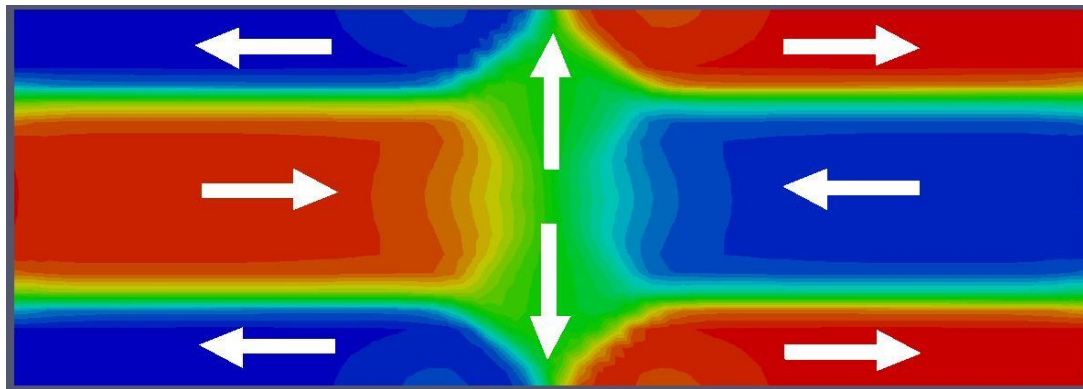
B3,C3

Prospects in phase-field modeling: migration of oxygen vacancies in domain structures



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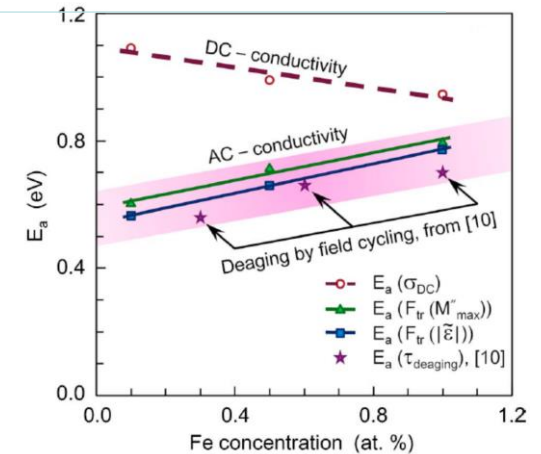
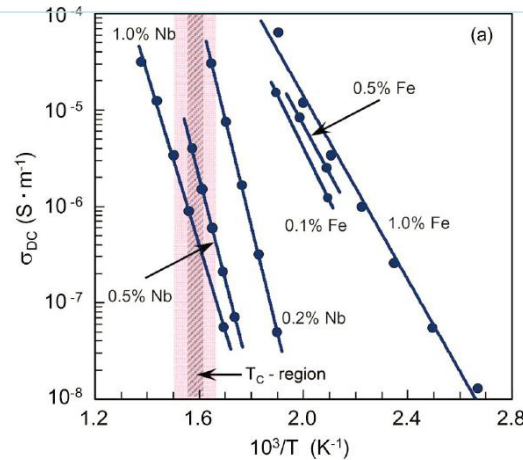
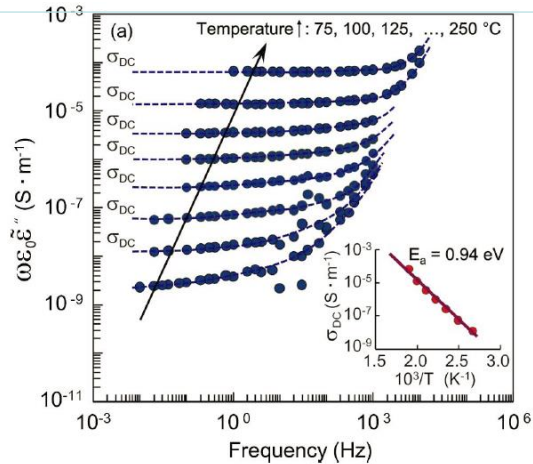
C5,C6,D3



Self-organized stripe domain structure exhibit strong remanent depolarization fields which should further drive mobile charged defects

Zuo et al., JAP **115**, 084110 (2014)

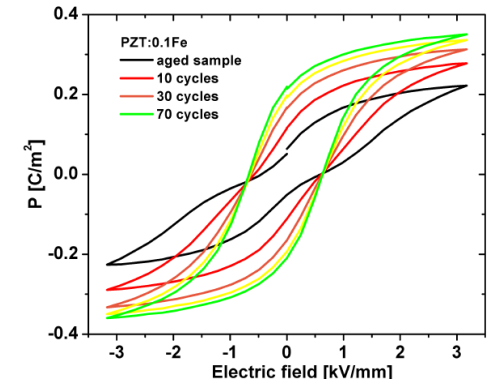
After all: migration of charge defects or re-orientation of defect dipoles?



Morozov and Damjanovic, JAP **107**, 034106 (2010)

(DC) in Fe-doped PZT
 $E_A = 0.94 - 1.2$ eV
 Long-range migration

(AC) in Fe-doped PZT
 $E_A = 0.55 - 0.7$ eV
 Short-range migration



Glaum et al., JAP **112**, 034103 (2012)

Both mechanisms contribute to aging and fatigue with distinct aging times and activation energies



Thank you for your attention!